A single-machine scheduling with generalized due dates to minimize total weighted late work

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Abstract

In the paper, we consider a single-machine scheduling problem with generalized due dates, in which the objective is to minimize total weighted work. This problem was proven to be NP-hard by Mosheiov et al. [7]. However, the exact complexity remains open. We show that the problem is strongly NP-hard, and is weakly NP-hard if the lengths of the intervals between the consecutive due dates are identical.

Keywords: Scheduling; Total late work; Generalized due dates; Computational complexity

1. Introduction

Consider a scheduling problem such that the due date is assigned not to the specific job but to the job position. Such a due date is referred to as the *generalized due date (GDD)*. Since the scheduling problem with GDD was initiated from Hall [4], much research has been done in [1, 3, 5, 8, 9, 10]. Recently, Mosheiov et al. [7] considered single-machine scheduling problems with GDD to minimize total late work. They showed that the problem can be solved by the Shortest Processing Time first (SPT) rule, while it is NP-hard if each job has a different weight. Note that it is unknown whether the case with

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the different weights is strongly NP-hard or not. We establish the exact complexity for the case with the different weights.

The remainder of this paper is organized as follows. Sections 2 and 3 defines the problem formally and establishes the computational complexity.

2. Problem definition

Our problem can be formally stated as follows: For each job $j \in \mathcal{J} = \{1, 2, ..., n\}$, let p_j and w_j be the processing time and the weight, respectively. Let $\pi = \Big(\pi(1), \pi(2), ..., \pi(n)\Big)$ be a schedule, where $\pi(j)$ is the jth job. For each $j \in \mathcal{J}$, let $S_j(\pi)$ and $C_j(\pi)$ be the start and completion times of job j in π, respectively, and $\pi^{-1}(j)$ be the position of job j in π. In our model, unlike the traditional scheduling problem, the due date d_i is assigned not to the specific job, but to the job positioned ith for each due date $i \in \mathcal{D} = \{1, 2, ..., n\}$. For simplicity, assume that $d_0 = 0$ and

$$d_1 \leq d_2 \leq \cdots \leq d_n.$$

GDD has two special cases depending on the condition of the due dates. The first and the second cases have a common due date with

$$d_i = d \quad \text{for} \quad i \in \mathcal{D}, \tag{1}$$

and identical lengths of the intervals between the consecutive due dates, that is,

$$d_i = i\delta \quad \text{and} \quad d_i - d_{i-1} = \delta \quad \text{for} \quad i \in \mathcal{D}, \tag{2}$$

respectively. Let the due dates with relations (1) and (2) be referred to as the *common due dates* (CDD) and *periodic due dates* (PDD), respectively. For each $j \in \mathcal{J}$, let $T_j(\pi)$ and $Y_j(\pi)$ be the tardiness and late work of a job j in π, respectively, which are calculated as

$$T_j(\pi) = \max\big\{0, L_j(\pi)\big\} \quad \text{and} \quad Y_j(\pi) = \min\big\{p_j, T_j(\pi)\big\},$$

where $L_j(\pi) = C_j(\pi) - d_{\pi^{-1}(j)}$. The objective is to find a schedule π to minimize total weighted late work, which is calculated as

$$z(\pi) = \sum_{j \in \mathcal{J}} w_j Y_j(\pi).$$

We follows the standard three-field notation $1|\beta|\sum_{j\in\mathcal{J}} w_jY_j$ introduced by Graham et al. [2], where $\beta \in \{CDD, PDD, GDD\}$ describes the characteristics of the due dates. This paper establishes the complexities of three cases.

Table 1 summarizes our results (note that 'wNP-hard' and 'sNP-hard' stand for weakly and strongly NP-hard, respectively).

Table 1: Complexity for $1|\beta|\gamma$

$\gamma \setminus \beta$	CDD	PDD	GDD
$\sum w_jT_j$	wNP-hard [6, 10]	wNP-hard [1]	sNP-hard [3]
$\sum w_jY_j$	polynomially solvable [7]	wNP-hard (Cor. 1)	sNP-hard (Thm. 1)

3. Computational complexity

In this section, we show that $1|GDD|\sum w_jY_j$ and $1|PDD|\sum w_jY_j$ are strongly and weakly NP-hard, respectively.

Theorem 1. *$1|GDD|\sum w_jY_j$ is strongly NP-hard.*

Proof Gao and Yuan [3] showed that $1|GDD|\sum w_jT_j$ is strongly NP-hard. It is observed from the reduced instance in their proof that $T_j = Y_j$ holds for each job $j \in \mathcal{J}$ in the optimal schedule. Thus, $1|GDD|\sum w_jY_j$ is strongly NP-hard. ■

Theorem 2. *$1|PDD|\sum w_jY_j$ is NP-hard.*

Proof For simplicity, for $1|CDD|\sum w_jT_j$, let $\bar{p}_j$ and $\bar{w}_j$ be the processing time and weight of job $j \in \{1, 2, ..., n\}$, respectively, and d be the common due date. Yuan [10] showed that $1|CDD|\sum w_jT_j$ is NP-hard, even if

$$\sum_{j=1}^{n} \bar{p}_j \leq 2d + 1. \tag{3}$$

Given an instance of $1|CDD|\sum w_jT_j$, we can construct an instance of $1|PDD|\sum w_jY_j$ with $(n + 1)$ jobs in $\mathcal{J} = \{0, 1, ..., n\}$ such that

- $p_0 = 0$ and $w_0 = 1 + \sum_{j=1}^{n} \bar{w}_j$;
- $p_j = d + \bar{p}_j$ and $w_j = \bar{w}_j$, $j = 1, 2, ..., n$;
- $\delta = d$.

It is observed that job 0 is processed at the first position in any optimal schedule for the reduced instance of $1|PDD|\sum w_j Y_j$. Thus, we consider only a schedule π for the reduced instance with $\pi(1) = 0$, that is, a schedule $\pi = (0, \bar{\pi})$, where $\bar{\pi}$ is the schedule for a given instance of $1|CDD|\sum w_j T_j$. Note that the kth job in $\bar{\pi}$ is the $(k+1)$th job in π. Then, we have

$$C_{\pi(k+1)}(\pi) = \sum_{h=2}^{k+1} p_{\pi(h)} = \sum_{h=1}^{k} (d + p_{\bar{\pi}(h)}) = kd + C_{\bar{\pi}(k)}(\bar{\pi}), \tag{4}$$

where the first equality holds due to $p_{\pi(1)} = 0$. If job j is the kth job in $\bar{\pi}$, then we have, by equation (4),

$$L_j(\pi) = kd + C_{\bar{\pi}(k)}(\bar{\pi}) - (k+1)\delta = C_j(\bar{\pi}) - d = L_j(\bar{\pi})$$

and

$$T_j(\pi) = T_j(\bar{\pi}).$$

By inequality (3), we have $T_j(\bar{\pi}) \leq \sum_{j=1}^{n} \bar{p}_j - d \leq d + 1 \leq d + \bar{p}_j$. Then

$$Y_j(\pi) = \min\{p_j, T_j(\pi)\} = \min\{d + \bar{p}_j, T_j(\bar{\pi})\} = T_j(\bar{\pi}).$$

Since job 0 is not tardy in π and $w_j = \bar{w}_j$, $j = 1, 2, ..., n$, the objective values of the two schedules π and $\bar{\pi}$ in each instance are the same. This implies that $1|CDD|\sum w_j T_j$ is special case of $1|PDD|\sum w_j Y_j$. Thus, Theorem 2 holds. ■

Let a job j be referred to as *small* if $p_j \leq \delta$, and *large*, otherwise. Let $\mathcal{S}$ and $\mathcal{L}$ be the sets of small and large jobs, respectively. Let

$$a_j = \begin{cases} \delta - p_j & \text{for } j \in \mathcal{S} \\ p_j - \delta & \text{for } j \in \mathcal{L}. \end{cases}$$

Furthermore, let a_j be referred to as *auxiliary processing time* for $j \in \mathcal{L}$. Under a schedule π, let a job j be referred to as *early* if $Y_j(\pi) = 0$, *partially late* if $0 < Y_j(\pi) < p_j$, and *fully late* if $Y_j(\pi) = p_j$.

Observation 1. *In $1|PDD|\sum w_j Y_j$, an optimal schedule π can be represented as*

$$\pi = (\pi_s, \pi_e, \pi_p, \pi_f),$$

where π_s, π_e, π_p and π_f are sequences of small, early, partially late, and fully late jobs, respectively. Furthermore, the jobs in π_i for $i \in \{s, e, f\}$ are sequenced arbitrarily.

By Observation 1, henceforth, we construct only a schedule for large jobs. Let $d = \sum_{j \in \mathcal{S}} a_j$ and $[h]$ be the hth large job in π. Note that

$$T_{[h]}(\pi) = \max\left\{0, \sum_{i=1}^{h} a_{[i]} - d\right\} \quad \text{and} \quad Y_{[h]}(\pi) = \min\left\{p_{[h]}, T_{[h]}(\pi)\right\}. \tag{5}$$

Let $\mathcal{P}$ and x be the set of partially late jobs and the first partially late job in the optimal schedule, respectively. Let x be referred to as a *straddling* job.

Lemma 1. *In an optimal schedule π, jobs in $\mathcal{P} \setminus \{x\}$ are sequenced in non-increasing order of w_j/a_j.*

Proof Suppose that there exist two jobs $i = [k]$ and $j = [k+1]$ in $\mathcal{P} \setminus \{x\}$ with

$$\frac{w_i}{a_i} < \frac{w_j}{a_j}. \tag{6}$$

Note that by $[k-1] \in \mathcal{P}$, $T_{[k-1]}(\pi) > 0$. Then, by $\{i, j\} \subset \mathcal{P}$ and (5),

$$w_i Y_i(\pi) + w_j Y_j(\pi) = w_i\big(T_{[k-1]}(\pi) + a_i\big) + w_j\big(T_{[k-1]}(\pi) + a_i + a_j\big). \tag{7}$$

Let $\bar{\pi}$ be the schedule constructed by interchanging the positions of jobs i and j from π. Then,

$$w_j Y_j(\bar{\pi}) + w_i Y_i(\bar{\pi}) \leq w_j\big(T_{[k-1]}(\pi) + a_j\big) + w_i\big(T_{[k-1]}(\pi) + a_j + a_i\big). \tag{8}$$

By (6)-(8), we have

$$z(\pi) - z(\bar{\pi}) \geq w_j a_i - w_i a_j > 0.$$

This contradicts to the optimality of π. ■

Theorem 3. $1|PDD|\sum w_j Y_j$ *can be solved in pseudo-polynomial time.*

Proof We present a DP based on Observation 1 and Lemma 1. Suppose that in an optimal schedule, the auxiliary processing time and the weight of the straddling job x are a and w, respectively. Renumber the remaining large jobs such that

$$\frac{w_1}{a_1} \geq \frac{w_2}{a_2} \geq \cdots \geq \frac{w_m}{a_m},$$

where $m = |\mathcal{L}| - 1$. Then, we construct a schedule of jobs in $\{1, 2, ..., m\}$ by applying Algorithm 1. For each $k \in \{1, 2, ..., m\}$, the kth phase of Algorithm 1 produces a set $\mathcal{S}_k$ of states. Each state in $\mathcal{S}_k$ is expressed as a vector $S = [s_1, s_2, s_3, s_4, s_5]$ representing the information of a partial schedule for the first k jobs, where

· The component s_1 is total auxiliary processing time of early jobs;

· The components s_2 and s_3 are total auxiliary processing time and total weight of partially late jobs, respectively;

· The component s_4 is the last partially late job in the current partial schedule;

· The component s_5 is total weighted late work of a partial schedule.

The initial set $\mathcal{S}_0$ contains only one state $[0,0,0,0,0]$. For each $k \in \{1,2,...,m\}$, $\mathcal{S}_k$ is obtained from $\mathcal{S}_{k-1}$ through three mappings, F_1, F_2, and F_3, which translate $S := [s_1, s_2, s_3, s_4, s_5] \in \mathcal{S}_{k-1}$ into the states in $\mathcal{S}_k$ as follows:

i) Calculate F_1 defined by

$$F_1(a_k, w_k, S) = [s_1, s_2, s_3, s_4, s_5 + w_k(a_k + \delta)].$$

Note that job k becomes a fully late job through mapping F_1;

ii) Calculate F_2 defined by

$$F_2(a_k, w_k, S) = [s_1, s_2 + a_k, s_3 + w_k, k, s_5 + w_k(s_2 + a_k)].$$

Note that job k becomes a partially late job through mapping F_2;

iii) If $s_1 + a_k < d$, then calculate F_3 defined by

$$F_3(a_k, w_k, S) = [s_1 + a_k, s_2, s_3, s_4, s_5].$$

Note that job k becomes an early job through mapping F_3.

After completing the mth phase, we place the straddling job x if jobs x and s_4 can be the first and last partially late jobs, respectively. That is, shift all (partially and fully) late jobs to the right by $(s_1 + a - d)$ and insert the straddling job x on interval $[s_1, s_1 + a]$ if the state $S \in \mathcal{S}_m$ belongs to the following set from (5):

$$\mathcal{Q} = \{S \in \mathcal{S}_m \mid s_1 \le d < s_1 + a \text{ and } \delta \le s_1 + a + s_2 - d < a_{s_4} + \delta\}.$$

At this time, total weighted late work of a feasible schedule is calculated as

$$G(S) = s_5 + (s_3 + w)(s_1 + a - d) \quad \text{for} \quad S \in \mathcal{Q}.$$

Algorithm 1 outputs a schedule with the minimum $G(S)$ among $S \in \mathcal{Q}$.

Algorithm 1: DP for $1|PDD|\sum w_jY_j$ with a fixed straddling job

$\mathcal{S}_0 \leftarrow \{[0,0,0,0,0]\}$;
for $k \leftarrow 1$ ***to*** m **do**
  for *each* $S := [s_1, s_2, s_3, s_4, s_5] \in \mathcal{S}_{k-1}$ **do**
    $\mathcal{S}_k \leftarrow \mathcal{S}_k \cup F_1(a_k, w_k, S) \cup F_2(a_k, w_k, S) \cup F_3(a_k, w_k, S)$;
  end
end
$\mathcal{Q} = \{S \in \mathcal{S}_m \mid s_1 \le d < s_1 + a \text{ and } \delta \le s_1 + a + s_2 - d < a_{s_4} + \delta\}$;
for *each* $S := [s_1, s_2, s_3, s_4, s_5] \in \mathcal{Q}$ **do**
  $G(S) \leftarrow s_5 + (s_3 + w)(s_1 + a - d)$;
end
return $\min\{G(S) \mid S \in \mathcal{Q}\}$;

Note that the number of states in the algorithm is bounded by $O(lA^2WT)$, where $l = |\mathcal{L}|$, $A = \sum_{j\in\mathcal{L}} a_j$, $W = \sum_{j\in\mathcal{L}} w_j$, and $T = \sum_{j\in\mathcal{L}} w_jp_j$. Hence, Algorithm 1 is a pseudo-polynomial algorithm. Since the possible number of straddling job is l, $1|PDD|\sum w_jY_j$ can be solved in pseudo-polynomial time. ■

Corollary 1. *$1|PDD|\sum w_jY_j$ is weakly NP-hard.*

Proof It immediately holds by Theorems 2 and 3. ■

4. Concluding remarks

We consider a single-machine scheduling problem with generalized due dates and total weighted late work criterion. Although the problem has been known to be NP-hard, its exact complexity is not established. We prove its strong NP-hardness, and weak NP-hardness of the case with periodic due dates.

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