

## Original Research Article

### Title: A Comparison between Accelerated Failure-Time Models in Analyzing the Survival of Breast Cancer Patients

#### Abstract

**Background:** Female breast cancer (BC) has surpassed lung cancer as the most prevalent reason for cancer-related diagnosis in the world. BC has geographical disparities in the intensity of effect of its associated risk factors on patients' survival. Several models can be employed to determine the effect of risk factors on patients' survival. The present study aims at evaluating these models.

**Methods:** The secondary data of 558 BC patients diagnosed at Korle Bu teaching hospital during 2010-2015 and followed up to the end of 2015 were analysed. The survival status, demographic and tumour characteristics of these patients were determined by event history analysis. To compare various models of survival, Akaike Information Criterion (AIC) and Bayesian Information Criteria (BIC) were used. R software was used for data analyses.

**Results:** Based on AIC and BIC the Gompertz (AIC=2322, BIC=2391) and Generalised Gamma (AIC=2378, BIC=2451) and Weibull (AIC=2382, BIC=2452) models were more efficient than other accelerated failure-time (AFT) models. Results from the three best fitted AFT models showed that covariates such as Age at diagnosis, Progesterone receptor, Molecular Subtype, Grade, Stage, Metastasis, number of Lymph nodes involved and genetic status were the significant factors that have an effect on the survival time of BC patients in Ghana ( $P<0.05$ ).

**Conclusion:** Although the Cox proportional hazard model has seen wide usage and remains a robust approach in survival analyses for the past four decades; its proportional hazards assumption is most often violated by some covariates in medical research. Under such violations, AFT models are a strong alternative.

**Keywords:** Accelerated Failure-time models, Akaike information criterion, Bayesian Information Criteria, Cox Proportional hazard model, Breast cancer.

#### 1.0 Introduction

Breast cancer has been ranked the first cause of cancer death (14.3% of the total) in low-and-middle income countries; the second cause of death (15.4%) in developed countries; and the fifth cause of death worldwide (1). Breast cancer in young women is relatively rare compared to breast cancer occurring in older women. Younger women diagnosed with breast cancer also tend to have a more aggressive biology and consequently a poorer prognosis than older women. There is a need for more research in the area to optimize clinical outcomes (2). The mean age at diagnosis of breast cancer in Africa has been found to be at a relatively young age of 54 years and occurs a decade earlier than patients from high income countries. Breast

cancer patients in underdeveloped countries comparatively present high staging (III and IV) of the disease at diagnosis (Walker et al., 2004). GLOBOCAN report of 2016 shows that about 80% of Ghana's breast cancer cases are in the lower age-groups and often associated with lower survival, relatively poorer prognosis and higher mortalities although with relatively lower morbidities. The mean age of incidence of cancer in Ghana has been found to be 48years. The peak age of incidence of BC among Africans occurs in the premenopausal while it occurs at the postmenopausal period among non-Africans (3,4). About 82% of Ghanaian BC patients have been found to be diagnosed with triple negative molecular subtype versus 26% of African Americans and 16% of white Americans (5-7).

When the Cox Proportional Hazard (CPH) assumption is not tenable, accelerated failure-time (AFT) model is an alternative to the CPH model. However, AFT modelling relies heavily on fitting as many parametric models to find a more appropriate form of the parametric family that best models the covariates. This search for appropriate parametric models is limited to the availability of software for fitting the parametric models. Explained in another way, unlike in AFT models, it is a difficult task to make different distributional assumptions for PH model (8-9). It has been established that the family of Gompertz distributions is not only a collection of Proportional hazard (PH) families but also a collection of AFT families (10, 11). (10, 11) demonstrated that Gompertz distribution fits in very well into accelerated failure time modelling especially in modelling mortality. (12) found that exponential and Gompertz AFT models were the best model fit after comparing six AFT models on the basis of AIC and Cox-Snell Residuals. In their work, six AFT were compared, namely; exponential, loglogistic, lognormal, Gamma, Weibull and Gompertz. (13) demonstrated Gompertz regression parameterized as accelerated failure time model. They argued that Gompertz AFT models are appropriate for treatment effects modelling such as that of BC.

## **2.0 Materials and Methods**

In this retrospective study, 558 patients with Breast cancer tumor characteristics and demographics have been studied; the data span the year 2010 through 2015 on diagnosed BC patients at Korle Bu Teaching Hospital of Ghana. The data was collected from patients' information in the archives of the hospital, which contained their survival status and treatment information over a period of time. Only patients with complete information over the five year period of interest to this study were selected for the study. Patients who did not experience the event of interest (death to breast cancer) by the end of the study were right-censored. The effects of demographic variables such as Age at diagnosis, Recurrent status, HER2 status, ER status, PR status, Molecular Subtype, Grade, Disease Stage(I-II-III-IV), Distance metastases, Number of Lymph Nodes involved, Menopause status at diagnosis, Ethnicity, Hospitalization status, and Hereditary or genetic status (BRCA 1 or 2) were evaluated and compared among various AFT models.

To compare different survival models, Akaike Information Criterion (AIC), Bayesian Information Criteria (BIC) were applied. Q-Q plot was used to assess the appropriateness of AFT model (Shapiro-Wilk normality test:  $W = 0.99588$ ,  $p\text{-value} = 0.1516$ ). The Q-Q plot in approximates well to a straight line from the origin with most of the points lying on the line,

the data is normally distributed (for two age groups of  $\leq 50$  years and  $> 50$  years); an indication that the AFT model may provide an appropriate model. AIC, and BIC are used to measure the goodness of models' fitness. The smaller the AIC and BIC the better the model fit. AIC and BIC for the models used in this study has been calculated according to the following formula:

$$AIC = -2\log(\text{maximum likelihood}) + 2p$$

$$BIC = -2\log(\text{maximum likelihood}) + p\log n$$

Where  $p$  is the number of model parameters

example,  $p = 1$  for the exponential model,  $p = 2$  for the Weibull

model and  $p = 3$  for the generalized gamma model.

TNM (7th edition) was employed to determine the stage of the disease (Edge et al., 2010).

R software was used for all analyses and the significance level was set at 5%.

### 3.0 Results

Women in younger ages ( $\leq 50$  years) were the most diagnosed (54.7%) of breast cancer (BC) at the start of the follow-up; compared to their counterparts in older ages ( $> 50$  years, 45.3%). The mean age at diagnosis is 50 years with standard deviation 14.3. Concerning staging of tumor, about 38% and 11% of the women were respectively at degrees of III and IV. Another 18% and 28% of the women, at the day of diagnosis were at the stages of I and II respectively. Regarding Grading of the disease among the women diagnosed of BC, about 32% and 22% were well differentiated (Grade 1) and moderately differentiated (Grade 2) respectively. However, about 46% of were poorly differentiated (Grade 3). In the cause of follow-up, approximately 17% of the patients were hospitalized at some point and about 8% experiencing recurrence of the disease. Of the women diagnosed of BC, about 65% were postmenopausal. Among the molecular subtypes of BC, Triple negative (Basal type) had the most incidence (43%) followed by Luminal A (about 32%), Luminal B (22%) and HER2+ (about 3%). With regards to Metastases, about 281 (50.4%) of the tumours metastasized where 261 (46.8%) did not metastasize and 16 (2.9%) could not be measured. About 15 % had no lymph node involvement, 38% cancer spread to 1 to 3 lymph nodes, 28% cancer spread to 4 to 9 lymph nodes and about 19% has spread to 10 or more lymph nodes. Inherited mutation in BRCA 1 and BRCA 2 accounted for 43% of the causes.

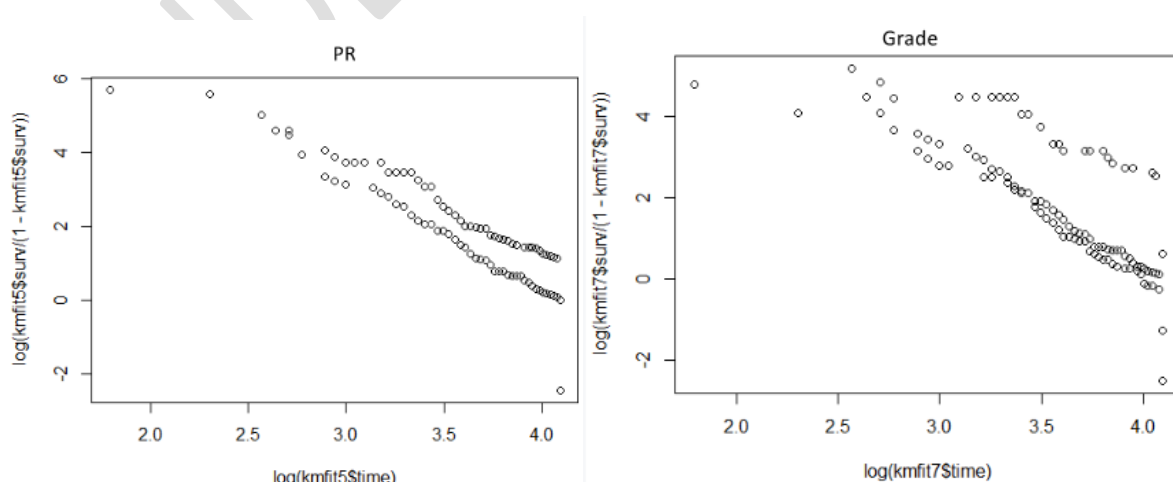
Young women ( $\leq 50$  years) were the most diagnosed (54.7%); which is similar to the 54.8% found by (14). The average age of women with breast cancer in this study was  $50.0 \pm 14.3$  years which fits the expected young profile of breast cancer patients in the region. This is comparable with prior studies in Cape Coast (49.9 years); (14), Kumasi (49.1 years); (15) and in Africa (50.2 years); (35). Triple negative (Basal type) was the most (43%) prevalent molecular subtype while there less prevalent subtype was HER2+; this finding is

supported by (14-18). About 49% BC patients were found to be at late stage (III and IV), consistent with that of (19). However, our study reported 46% of BC patients with Grade 3 tumors which is about 4% below the 50% reported by (19). The 50.4% breast cancer metastasis found by our study fits well within the range (39.8% - 55.3%) of three commonest sites of distant metastases found by (20). Our study found that 32% of the patients had Luminal A breast cancer which compares with the 32.8% found by (20). The 85% BC lymph node metastasis found by our study is slightly higher than the 80% reported by (21).

**Table1. Goodness of Fit Test for Proportional Hazard Assumption**

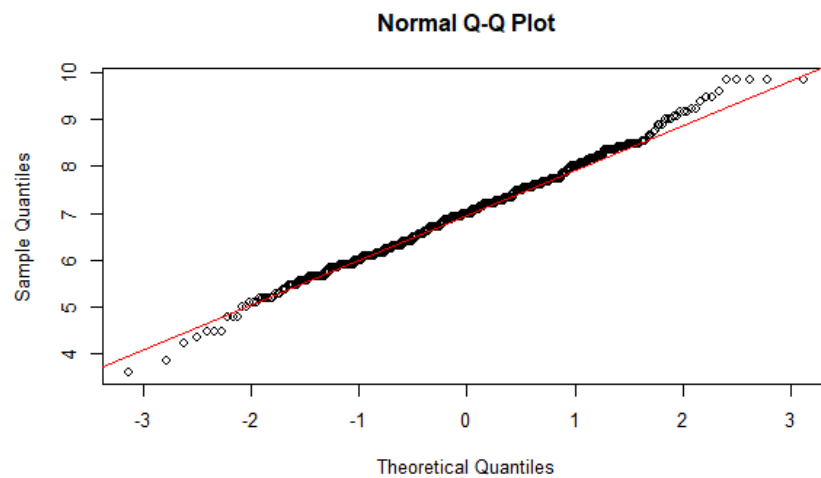
Covariate	chisq	df	p-value
Age	0.00169	1	0.967
Recurrent	0.32053	1	0.571
HER2	0.00176	1	0.966
PR	5.16717	1	<b>0.023</b>
ER	3.77155	1	0.052
MSubtype	2.00089	1	0.157
Grade	5.63717	1	<b>0.018</b>
Stage	2.35698	1	0.125
Metastasis	0.28847	1	0.591
LymphNode	0.57144	1	0.45
Menopause	0.59849	1	0.439
Ethnicity	0.77946	1	0.377
Hospitalization	2.07502	1	0.15
Genetics	2.72288	1	0.099
GLOBAL	22.62211	14	0.067

The proportional hazard (PH) assumption is violated by a covariate that shows a significant relationship between residuals and time by Goodness of Fit Test. It is therefore evident from Table 1 that Grade and Progesterone receptor (PR) are time-variant and so violate the PH assumption. Under such situations using the Cox PH model will produce erroneous estimates.



**Figure1. Graphical Test for Proportional Hazard Assumption**

Figure 1 shows that the hazards cross for Progesterone receptor (PR) and Grade covariates which is consistent with outcome of the Goodness of Fit test; suggesting a violation to the PHA.



**Figure 2. Q-Q Plot Test for Appropriateness of AFT Model**

The Q-Q plot was used to check the Accelerated Failure Time (AFT) assumption. The Q-Q plot in Figure 2 approximates well to a straight line from the origin with most of the points lying on the line; the data is normally distributed (for two age groups of  $\leq 50$  years and  $> 50$  years); an indication that the AFT model may provide an appropriate model.

**Table2. Goodness of Fit Test for AFT Candidate Models**

AFT MODEL	AIC	BIC	Loglik
AFTloglogis	2436	2505	-1202
AFTExpo	2895	2959	-1432
AFTlognor	2455	2524	-1211
AFTGompertz	<b>2322</b>	<b>2391</b>	<b>-1145</b>
AFTgamma	2426	2495	-1172
AFTWeibull	2382	2452	-1175
AFTGengama	2378	2451	-1172
AFTLogGAuss	2455	2524	-1211
AFTreleigh	2567	2632	-1269

To find the best fitting model the Akaike's Information Criterion (AIC) and Bayesian Information Criteria (BIC) were used. The Gompertz AFT model was the best fitting model to the study data, with the least AIC and BIC values. Apart from the overall best performing Gompertz AFT accelerated failure-time model, Generalised gamma and Weibull AFT models were respectively the next alternatives.

**Table3. Gompertz AFT Model Output**

Predictors	CI	p
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Estimates			
Age	1	0.99-1	<b>0.037</b>
Recurrent	0.95	0.87-1.03	0.233
HER2	0.93	0.74-1.17	0.546
ER	1.12	0.72-1.75	0.615
PR	1.55	1.16-2.06	<b>0.003</b>
MSubtype	0.5	0.27-0.9	<b>0.022</b>
Grade	3.59	1.18-10.87	<b>0.024</b>
Stage	0.91	0.88-0.95	<b>&lt;0.001</b>
Metastasis	0.73	0.67-0.86	<b>&lt;0.001</b>
LymphNode	0.94	0.91-0.98	<b>0.001</b>
Menopause	1.03	0.94-1.12	0.564
Ethnicity	0.99	0.96-1.02	0.602
Hospitalization	0.98	0.91-1.06	0.628
BRCA 1&2	4.16	1.26-13.7	<b>0.019</b>

Results of the Gompertz AFT model revealed that Age at diagnosis, Progesterone receptor (PR), Molecular Subtype, Grade, Stage Metastasis, number of Lymph node involved and BRCA1 and 2 statuses were the significant factors that have an effect on the survival time of breast cancer patients in Ghana. Our findings are corroborated by the studies (22).

Moreover, covariates of recurrent status, Human epidermal receptor2 (HER2) status, Oestrogen receptor status (ER), Menopause status, Ethnicity background, and hospitalization status did not have any significant effect on patients' survival in any of the studied models.

The estimated log time to death to BC with younger patient in comparison with older patients was 1.0. The accelerated factor is  $\exp(1)$  which is 2.718; an indication that the younger population accelerates the time to die of BC by a factor of 2.718. This also means that the younger population has a shorter time (by a factor of 2.718 to die by BC). The estimated hazard ratio (HR) comparing death by breast cancer by young and old is  $\exp(-1.0)$  which is 0.368, means younger patients are 0.368 higher risks to die from breast cancer than those at higher ages.

Similarly, PR negative status of BC patients accelerates the time to die of BC by a factor of 4.711; and have 0.212 higher risks to die from BC than those who are PR positive. Molecular Subtype, Grade and Stage at diagnosis accelerate the time to die of BC by factors of 1.648, 36.324 and 2.484 respectively. This means that higher Grade of BC at diagnosis accelerate the time to die of BC by a factor of 36.324 ; whereas higher stage of BC at diagnosis accelerated the time to die of the disease by 2.484. Again, BC patients with Triple negative (TN) molecular subtype have higher accelerated risk of dying by a factor of 1.648 . The high accelerated factor of 36.324 for high grade BC makes sense since high grade tumors often grow and spread faster and more likely to be invasive in nature (23).

The estimated log time to death to BC patients with tumor that has spread to 10 or more lymph nodes is 0.94. In effect, a BC patient with tumor that has spread to 10 or more lymph

nodes accelerates the time to die of BC by a factor of 2.460. Finally, patients whose cause of BC was due to genetic or heredity causes (BRCA 1 and BRCA2) accelerate the time to die from BC by a factor of 64.072. Our high accelerated factor estimates for *BRCA1* and *BRCA2* are meaningful since for example; (22) has established that about 73% of *BRCA1* carriers have triple-negative breast cancer; whereas most (72% ) *BRCA2* carriers have hormone receptor-positive tumors which are known for their poor prognosis and are significantly associated with metastasis.

**Table4. Significant Covariates Predicted by each Model**

	WeibullAFT	GenGammaAFT	GompertzAFT
Predictors	P-Value	P-Value	P-value
Age	<b>0.02</b>	<b>0.003</b>	<b>0.037</b>
Recurrent	<b>0.165</b>	<b>0.339</b>	<b>0.233</b>
HER2	0.549	0.782	0.546
ER	0.653	0.308	0.615
PR	<b>0.005</b>	<b>0.022</b>	<b>0.003</b>
MSubtype	<b>0.02</b>	<b>0.024</b>	<b>0.022</b>
Grade	<b>0.024</b>	<b>0.026</b>	<b>0.024</b>
Stage	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
Metastasis	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
LymphNode	<b>0.001</b>	<b>0.002</b>	<b>0.001</b>
Menopause	0.486	0.531	0.564
Ethnicity	0.632	0.777	0.602
Hospitalization	0.589	0.805	0.628
Genetics	<b>0.016</b>	<b>0.022</b>	<b>0.019</b>

The table 4 results show that the three best performing AFT models considered in this study consistently predicted the same significant covariates of Age at diagnosis, Progesterone receptor (PR), Molecular Subtype, Grade, Stage Metastasis, number of Lymph node involved and BRCA1 and 2 statuses.

#### 4.0 The Model

The *Gompertz* distribution is special in that it can be fit into both the AFT and the PH framework (13, 24-25).

The AFT model is specified in terms of  $S$  and  $S_0$  as

$$S(t,x)=S_0(te^{\beta x}), \quad t>0, \quad (1)$$

Where time,  $t$  is a factor of the accelerated factor  $e^{\beta x}$

The equivalent hazard form is given by

$$h(t,ax)=h_0(te^{\beta x})e^{\beta x}, \quad t>0, \quad (2)$$

Given that the lifetime  $T$  of a patient with covariates  $X$  has a survivor function given by (1)

**We can derive** the distribution for  $Y = \log(T)$  as:

$$P(Y \geq y) = P(\log(T) \geq y) = P(T \geq e^y) = S(e^y; x) = S_0(e^{y+\beta x}) \quad (3)$$

It can be deduced from (3) that  $\beta X$  is a location parameter in the family of distributions of  $Y$ , and has the log-linear model for:

$$Y = \log(T) = \beta x + \varepsilon = \beta_0 + \beta_1 x_1 + \dots + \beta_p x_p + \varepsilon \quad (4)$$

Where  $\exp(\varepsilon)$  has the distribution  $S_0$  and  $\varepsilon$  serves as the “error term”.

The Gompertz distribution has exponentially growing hazard function, and can be parameterized as:

$$h_g(t, (\lambda, \gamma)) = \lambda e^t, \quad \lambda > 0, \gamma \geq 0, t > 0 \quad (5)$$

Where proportionality constant  $\lambda$ ,

We now transform the proportionality constant to AFT

With the *transformation*  $(\lambda, \gamma) \rightarrow \left(\frac{\lambda}{\gamma}, \frac{1}{\gamma}\right)$

Leading to the Gompertz AFT model

$$h_q(t, (\lambda, \gamma)) = \frac{\lambda}{\gamma} e^{\frac{t}{\gamma}}, \lambda, \gamma > 0, t > 0 \quad (6)$$

and now  $\lambda$  is the “PH parameter” (as before) and  $\gamma$  is the “AFT parameter

The transition from  $h_g$  to  $h_q$  implies that the rate in the canonical form must be strictly positive.

Hence the canonical parameterisation form of the survival function for (6) becomes:

$$S(t, (\lambda, \gamma)) = \exp\left\{-\lambda\left(e^{\frac{t}{\gamma}} - 1\right)\right\}, t > 0. \quad (7)$$

The conclusion of all this is that the AFT Gompertz model is suitable in situations where the intensity of an event is clearly increasing with time (24-25).

## 5.0 Discussion

Breast cancer studies have often neglected the fact that breast cancer covariates could be time-dependent in which case the Cox proportional hazard assumption is violated. Neglecting



this violation leads to erroneous and misleading estimates of probabilities associated with survival or hazard (26). Under such situations where the proportional hazard assumption is violated, accelerated failure-time modelling can be considered. A recent review of cancer related works employing Cox PH model in the past decade revealed that 81% of publications did not account for the proportional hazard assumption (27). Very little attention has been paid to violation to Cox PH assumption; as 95% of all studies using the Cox PH model without testing checking if the assumption is violated or not, leading to biased, unreliable and erroneous conclusions (28). To this end, AFT models such as Loglogistic, Exponential, Lognormal, Gompertz, Gamma, Weibull, Generalized Gamma, Gaussian, LogGaussian, extreme and Raleigh can be better choices in such circumstance. Expressed in another way, AFT models are flexible such that they allow one to make different distributional assumptions that best models the covariates hence suitable alternative to the Cox PH model. In this study, the results of accelerated failure-time models were compared to analyze the survival of patients with BC in Ghana.

To compare these models, AIC, BIC were used. Among accelerated failure-time models, Gompertz, Extreme and Gaussian models were more efficient than other AFT models and hence the best alternative to the Cox PH model. Results from the three best fitted AFT models showed that covariates such as Age at diagnosis, Progesterone receptor (PR), Molecular Subtype, Grade, Stage, Metastasis, number of Lymph node involved and BRCA1 and 2 statuses were the significant factors that have an effect on the survival time of breast cancer patients in Ghana ( $P<0.05$ ). These results are consistent with the results of many studies in this field (29-32). Moreover, covariates of recurrent status, Human epidermal receptor2 (HER2) status, Oestrogen receptor status (ER), Menopause status, Ethnicity background, and hospitalization status did not have any significant effect on patients' survival in any of the studied models. This issue is consistent with most studies conducted on patients with breast cancer (12, 33).

## **6.0 Conclusion**

Although Cox PH Model remains for the last four decades the most robust in comparison with parametric and nonparametric models, AFT models which do not assume the constant hazards in the survival data provide a more valid, reliable and applicable results in the event that the PH assumption is violated. Based on our results, the Gompertz (AIC=2322, BIC=2391) as the best performing AFT model among all the AFT models considered. This finding is supported by the works of (12, 25). Generalised Gamma (AIC=2378, BIC=2451) and Weibull (AIC=2382, BIC=2452) were the two other alternative models; which are also corroborated by the studies (28, 34).

## **7.0 Ethical considerations**

Ethical issues (including plagiarism, informed consent, misconduct, data fabrication and/or falsification) have been completely observed by the authors.

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